

09/485601

IN THE UNITED STATES DESIGNATED OFFICE

Applicant	Stephen Strittmatter
Serial No.	Filing Date: Concurrently herewith
Title of Application	Central Nervous System Axon Regeneration

Commissioner of Patents
and Trademarks
Washington, DC 20231

BOX PCT, ATTENTION DO/US

Transmittal Letter to the U.S. Designated Office (DO/US)
Entry into the U.S. National Stage Under Chapter II

1. Applicant herewith submits to the United States Designated Office (DO/US) the following items under 35 U.S.C. 371.

This is an express request to immediately begin national examination procedures (35 U.S.C. 371(f)).

2. A copy of the International Application as filed (35 U.S.C. 371(c)(2)):

xx

is transmitted herewith.
is not required as the application was filed with the U.S. Receiving Office.
has been transmitted by the International Bureau.
has been transmitted by applicant on ---.

Express Mail Certification: I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as "Express Mail Post Office to Addressee" Mailing Label Number EL308938940US in an envelope addressed to: Box PCT, Commissioner of Patents and Trademarks, Washington, DC 20231.

February 11, 2000



Mary M. Krinsky

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3. The U.S. National Fee (35 U.S.C. 371(C)(1)) and other fees (37 CFR) are indicated below:

Claims Fees			
Claims Fee - Number Filed	No. Extra	Rate	Calculation
Total Claims*			
20 minus 20	= 0	@ 18	00.00
Independent Claims: 3 minus 3	= 0	@	00.00
Multiple Dependent Claims (if applicable)	= 0	@ \$260	0.00
Basic Fee - The International Search Fee, as set forth in Section 1.445(a)(2) to be paid to the US PTO acting as an International Searching Authority:			
	Has been paid (37 CFR 1.492(a)(2)	@\$760	670.00
	Has not been paid (37 CFR 1.492(a)(3)	@\$1,040	00.00
	Where a search report on the international has been prepared by EPO or JOP	@\$910	00.00
	Total of above Calculations		\$670.00
Small Entity - Reduction by half for filing by a Small Entity			\$335.00
	Subtotal		\$335.00
	Total National Fee		\$335.00
Recording Assignment fee			00.00
TOTAL		Total Fees Enclosed	\$335.00

* See attached Preliminary Amendment.

Authorization to Charge Fees. The Commissioner is hereby authorized to charge the filing fee any additional fees by this paper and during the entire pendency of this Application to Account No. 25-0110.

Warning: If the translations of the international application, oath or declaration and national fee have not been submitted by the applicant within twenty (20) months from the priority date, the applicant will be so notified and given a period of time within which to file the translation and/or oath or declaration in order to prevent abandonment. The payment of the surcharge set forth in § 1.492(e) is required as a condition for accepting the oath or declaration later than twenty (20) months after the priority date. The payment of the processing fee set forth in § 1.492(f) is required for acceptance of an English translation later than twenty (20) months after the priority date. Failure to comply with these requirements will result in abandonment of the application. The provisions of § 1.136 will apply 37 CFR § 1494(c); Notice of January 7, 1993, 1147 O.G. 29 to 40, at et.

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4. A translation of the International Application into the English language (35 U.S.C. 371(C)(2)):

xx

- is transmitted herewith.
 is not required as the application was filed in English.
 was previously transmitted by applicant on ---.

5. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)):

are transmitted herewith.

have been transmitted

- by the International Bureau. The date of mailing of the amendment (from form PCT/IB/308) is ---.
 by applicant on ---.

xx

have not been transmitted, as

- no notification has been received that the International Search Authority has received the Search Copy.
 the Search Copy was received by the International Searching Authority, but the Search Report has not yet been issued.
 applicants chose not to make amendments under PCT Article 19. The date of mailing of the Search Report (from form PCT/ISA/210) is 23 December 1998.
 the time limit for the submission of amendments has not yet expired. The amendment, or a statement that amendments have not been made, will be transmitted before the expiration of the time limit under PCT Rule 16.1.

6. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)):

xx
xx

- is transmitted herewith.
 is not required as the application was filed in English.
 has not been transmitted for reasons indicated at point 5 above.

7. An oath or declaration of the inventor [35 U.S.C. 371 (c)(4)] complying with 35 U.S.C. 115

was previously submitted by applicant on ---.
 is attached to the application.

identifies the application and any amendments under PCT Article 19 which were transmitted as stated in points 3.b. or c. and 5.b; and states that they were reviewed by the inventor as required by 37 CFR 1.70.

xx

will follow.

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8. An International Search Report or Declaration under PCT Article 17(2)(a):

- is transmitted herewith.
 has been transmitted by the International Bureau. The date of mailing (from form PCT/IB/308) is 25 February 1999.
 is not required, as the application was searched by the United States International Searching Authority.
 will be transmitted promptly upon request.
 is not transmitted, as the International Search has not yet issued.

9. An Information Disclosure Statement under 37 CFR 1.97 and 1.98:

- is transmitted herewith.
 will be transmitted within three months of the date of submission of requirements under 35 U.S.C. 371(c).
 was previously submitted by applicant on ---.

Also transmitted herewith is/are:

- form PTO-1449.
 copies of citations listed.

10. Additional documents:

- Copy of Request (PCT/RO/101).
 International Publication No. WO99/08533 cover sheet.
 Preliminary Amendment (37 CFR § 1.121).
 Small Entity Statement.
 Other: fee transmittal.

11. An assignment to Yale University will follow.

12. The above-checked items are being transmitted

- by 30 months and a proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.

Respectfully submitted,



Mary M. Krinsky, Registration No. 32,423
Attorney for Applicants
79 Trumbull Street
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203-773-9544

11 February 2000

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB-09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 335.00

Complete if Known

Application Number	PCT/US98/16794 national phase
Filing Date	(internat.) 12 August 1998
First Named Inventor	Stephen M. Stritmatter
Examiner Name	
Group / Art Unit	
Attorney Docket No.	OCR-842

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 25-0110

Deposit Account Name Yale University

Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet.	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	380	216 190 Extension for reply within second month	
117	870	217 435 Extension for reply within third month	
118	1,360	218 680 Extension for reply within fourth month	
128	1,850	228 925 Extension for reply within fifth month	
119	300	219 150 Notice of Appeal	
120	300	220 150 Filing a brief in support of an appeal	
121	260	221 130 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,210	241 605 Petition to revive - unintentional	
142	1,210	242 605 Utility issue fee (or reissue)	
143	430	243 215 Design issue fee	
144	580	244 290 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	240	126 240 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	760	246 380 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	760	249 380 For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Mary M. Krinsky	Registration No. (Attorney/Agent)	32423	Telephone	203-773-9544
Signature	<i>Mary M. Krinsky</i>			Date	11 February 2000

Burden Hour Statement: This form is estimated to take 0.6 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.